



*TFW* 3627-  
PATENT APPLICATION  
Docket No. 8514-026

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: Ned HOFFMAN Conf. No. 3861  
Serial No. 09/441,107 Examiner: FISCHETTI, Joseph A.  
Filed: November 16, 1999 Art Unit: 3627  
For: SYSTEM AND METHOD FOR TOKENLESS BIOMETRIC ELECTRONIC SCRIP

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97 (b); or  
(Within three months of filing national application; or date of entry of International application; or before mailing date of first office action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under §1.114; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:  
☐ Statement under 37 CFR 1.97 (e), or  
☐ a \$180 fee under 37 CFR 1.17 (p); or  
(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)
- ☐ under 37 CFR 1.97 (d) together with:  
☐ Statement under 37 CFR 1.97 (e), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the

issue fee)

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.

Customer No. 60460

Respectfully submitted,  
MARGER JOHNSON & McCOLLOM, P.C.

*Ariel S. Rogson*  
Ariel S. Rogson  
Reg. No. 43,054

MARGER JOHNSON & McCOLLOM, P.C.  
210 SW Morrison Street, Suite 400  
Portland, OR 97204  
503-222-3613

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Commissioner for Patents, Mail Stop Amendment,  
P.O. Box 1450, Alexandria, VA 22313-1450  
Date: March 21, 2006

*Christina Lawton*  
Christina Lawton

PATENT APPLICATION



In re application of:  
Serial No.  
Filed:

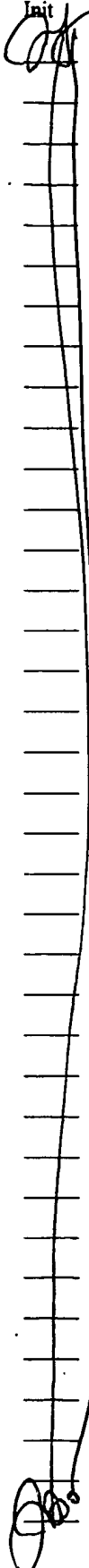
Ned HOFFMAN  
09/441,107  
November 16, 1999

Conf. No. 3861  
Examiner: FISCHETTI, Joseph A.  
Art Unit: 3627


INFORMATION DISCLOSURE CITATION  
FORM PTO-1449 (Modified)

U.S. PATENT DOCUMENTS


Exam Unit	Ref	Document Number	Issue Date	Name	Class	Sub Class
		D367,044	02/1996	Arakaki		
		D400,191	10/1998	Butts, et al.		
		D298,536	11/1988	Brefka		
		D397,682	09/1998	Yotukura		
		D340,919	11/1993	Lee		
		3,639,905	02/1972	Yaida, et al.		
		3,876,864	04/1975	Clark, et al.		
		3,943,335	03/1976	Kinker, et al.		
		3,975,711	08/1974	McMahon		
		4,151,512	04/1979	Riganati, et al.		
		4,208,651	06/1980	McMahon		
		4,227,805	10/1980	Schiller		
		4,322,163	03/1982	Schiller		
		4,353,056	10/1982	Tsikos		
		4,358,677	11/1982	Ruell, et al.		
		4,429,413	01/1984	Edwards		
		4,537,484	08/1985	Fowler, et al.		
		4,544,267	10/1985	Schiller		
		4,618,988	10/1986	Schiller		
		4,649,563	03/1987	Riskin		
		4,696,046	09/1987	Schiller		
		4,699,149	10/1987	Rice		
		4,720,869	01/1988	Wadia		
		4,728,186	03/1988	Eguchi, et al.		
		4,747,050	05/1988	Brachtl, et al.		
		4,752,966	06/1988	Schiller		
		4,784,484	11/1998	Jensen		
		4,805,223	02/1989	Denyer		

Exam Init	Ref	Document Number	Issue Date	Name	Class	Sub Class
		4,845,636	07/1989	Walker		
		4,868,376	09/1989	Lessin, et al.		
		4,946,276	08/1990	Chilcott		
		4,947,028	08/1990	Gorog		
		4,998,279	03/1991	Weiss		
		5,025,372	06/1991	Burton		
		5,054,090	10/1991	Knight, et al.		
		5,073,950	12/1991	Colbert, et al.		
		5,077,803	12/1991	Kato, et al.		
		5,088,817	02/1992	Igaki, et al.		
		5,103,486	04/1992	Grippi		
		5,105,467	04/1992	Kim, et al.		
		5,109,428	04/1992	Igaki, et al.		
		5,161,204	11/1992	Hutcheson, et al.		
		5,267,324	11/1993	Kumagai		
		5,321,765	06/1994	Costello		
		5,329,589	07/1994	Fraser, et al.		
		5,371,797	12/1994	Bocinsky, Jr.		
		5,400,662	03/1995	Tamori		
		5,416,573	05/1995	Sartor, Jr.		
		5,429,006	07/1995	Tamori		
		5,457,747	10/1995	Drexler		
		5,484,988	01/1996	Hills, et al.		
		5,493,621	02/1996	Matsumura		
		5,513,272	04/1996	Bogosian, Jr.		
		5,517,558	05/1996	Schalk		
		5,533,123	07/1996	Force, et al.		
		5,572,597	11/1996	Chang, et al.		
		5,577,120	11/1996	Penzias		
		5,602,933	02/1997	Blackwell, et al.		
		5,621,812	04/1997	Deaton, et al.		
		5,635,723	06/1997	Fujieda, et al.		
		5,636,038	06/1997	Lynt, et al.		
		5,650,217	07/1997	Skrivanek, et al.		
		5,748,780	05/1998	Stolfo		
		5,768,134	06/1998	Swaelens, et al.		
		5,790,668	08/1998	Tomko		






Exam Init	Ref	Document Number	Issue Date	Name	Class	Sub Class
		5,794,207	08/1998	Walker, et al.		
		5,796,857	08/1998	Hara		
		5,822,737	10/1998	Ogram		
		5,825,907	10/1998	Russo		
		5,825,924	10/1998	Kobayashi		
		5,826,241	10/1998	Stein, et al.		
		5,832,464	11/03/98	Houvener, et al.		
		5,844,287	12/1998	Hassan, et al.		
		5,845,005	12/1998	Setlak, et al.		
		5,848,400	12/1998	Chang		
		5,850,442	12/1998	Muftic		
		5,892,838	04/1999	Brady		
		5,926,555	07/1999	Ort, et al.		
		5,943,235	08/1999	Earl, et al.		
		5,982,914	11/1999	Lee, et al.		
		5,986,746	11/1999	Metz, et al.		
		5,991,372	11/1999	Davenport D'Ingianni, et al.		
		6,011,858	01/2000	Stock, et al.		
		6,012,039	01/2000	Hoffman, et al.		
		6,029,195	02/2000	Herz		
		6,052,675	04/2000	Checchio		
		6,072,894	06/2000	Payne		
		6,084,967	07/2000	Kennedy, et al.		
		6,111,977	08/2000	Scott, et al.		
		6,119,096	09/2000	Mann, et al.		
		6,154,879	11/2000	Pare Jr., et al.		
		6,182,076	01/2001	Yu, et al.		
		6,230,148	05/2001	Pare, Jr. et al.		
		6,233,618	05/2001	Shannon		
		6,256,737	07/2001	Bianco, et al.		
		6,260,024	07/2001	Shkedy		
		6,268,788	07/2001	Gray		
		6,275,944	08/2001	Kao, et al.		
		6,327,578	12/2001	Linehan		
		6,366,682	04/2002	Hoffman, et al.		
		6,377,228	04/2002	Jenkin, et al.		
		6,397,198 B1	05/2002	Hoffman, et al.		

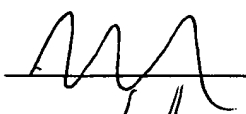
Exam Init	Ref	Document Number	Issue Date	Name	Class	Sub Class
	_____	6,411,728	06/2002	Lee, et al.		
	_____	20010044775 A1	11/2001	Saito, et al.		

### FOREIGN PATENT DOCUMENTS

Exam Init	Ref	Document Number	Publication Date	Name	Country
	_____	WO98/50875	11/1998	GTE Government Systems Corp.	PCT
	_____	WO99/28847	06/10/1999	Diebold, Inc.	PCT
	_____	WO94/10659	05/11/1994	Jasper Consulting, Inc.	PCT
	_____	0652 540 B1	09/20/2000	Sime	EP
	_____	0823701 A2	02/1998	Fromm	EP

### OTHER DOCUMENTS

Exam Init	Ref	Author, Title, Date, Pertinent Pages, Etc.)
	_____	Lange, et al.; "Digital Identification: It's Now at our Fingertips", <u>Electronic Engineering Times</u> ; No. 946; March 24, 1997; p. 142
	_____	Stosz, et al. "Automated System for Fingerprint Authentication Using Pores and Ridge Structure"; <u>Proceedings of The International Society for Optical Engineering</u> ; v. 2277; July 28-29, 1994; pp. 210-223
	_____	"Recently Granted Patents in the USA – September 1998; Transponder News; (5 pages)
	_____	Toll, Janie "Veridicom Showcases New Customers and Applications at COMDEX"; Press Release; November 16, 1998; 3 pages
	_____	Rechtin, Mark; "Fingerprint Technology for Best ID System"; <u>Orange County Business Journal</u> ; May 14, 1999 (1 page)

Examiner: 

Date Considered: 